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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/765,776	01/27/2004	Kris Kobylinski	CA920030062US1	2455	
Diana L. Rober	7590 07/17/200		EXAM	INER	
International Business Machines Intellectual Property Law 11400 Burnet Road Austin, TX 78758			VERDI, KIMBLEANN C		
			ART UNIT	PAPER NUMBER	
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			07/17/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<u> </u>		Application No.	Applicant(s)				
<i>;</i>		10/765,776	KOBYLINSKI ET AL.				
	Office Action Summary	Examiner	Art Unit				
		Kacy Verdi	2194				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHOR' WHICHE - Extension after SIX ( - If NO peric - Failure to Any reply	TENED STATUTORY PERIOD FOR REPLY VER IS LONGER, FROM THE MAILING DAS of time may be available under the provisions of 37 CFR 1.13 (6) MONTHS from the mailing date of this communication. Odd for reply is specified above, the maximum statutory period we reply within the set or extended period for reply will, by statute, received by the Office later than three months after the mailing tent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from to the application to become AB ANDONE	I. lety filed the mailing date of this co D (35 U.S.C. § 133).				
Status							
2a)	sponsive to communication(s) filed on <u>27 Ja</u> is action is <b>FINAL</b> . 2b)⊠ This ace this application is in condition for allowar sed in accordance with the practice under <i>E</i>	action is non-final. nce except for formal matters, pro		merits is			
Disposition	of Claims						
4a) 5)□ Cla 6)⊠ Cla 7)□ Cla	tim(s) <u>1-28</u> is/are pending in the application.  Of the above claim(s) is/are withdravelim(s) is/are allowed.  sim(s) <u>1-28</u> is/are rejected.  sim(s) is/are objected to.  sim(s) are subject to restriction and/or	vn from consideration.					
Application	Papers						
10)⊠ The App Re	e specification is objected to by the Examine drawing(s) filed on 27 January 2004 is/are: olicant may not request that any objection to the objectment drawing sheet(s) including the correct oath or declaration is objected to by the Examination is objected to be added to b	a)⊠ accepted or b)⊡ objected drawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). lected to. See 37 CF	FR 1.121(d).			
Priority und	er 35 U.S.C. § 119						
12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of the certified copies not received.							
2) Notice of 3) Information	References Cited (PTO-892) Draftsperson's Patent Drawing Review (PTO-948) on Disclosure Statement(s) (PTO/SB/08) (s)/Mail Date <u>January 27, 2004</u> .	WILLIAM THON SUPERVISORY PATEN  4)  Interview Summary Paper No(s)/Mail Da  5)  Notice of Informal P  6)  Other:	(PTO-413) ate	-			

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### **DETAILED ACTION**

This office action is in response to the Application filed on January 27, 2004. Claims 1-28 are pending in the current application.

# Claim Objections

- 1. Claims 1, 2, 8, 9, 15, 16, 22, and 23 are objected to because of the following informalities:
  - a. claim 1, lines 4 and 8, claims 2, 9, 16, and 23, line 3, claims 8, 15, and 22, lines 7 and 10, the recitation of "said representation", should be "said sequence diagram representation";
  - b. claim 1, line 7, claims 8, 15 and 22, line 9, the recitation of "[[,]]", should be ".".
  - c. claim 1, line 8, claim 8, lines 5 and 10, claim 15, line 10, and claim 22, line
    11, the recitation of "said display", should be "said display screen"; and
  - d. Appropriate correction is required.

## Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 15-28 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

With respect to claims 15-21, the "computer readable medium," in accordance with Applicant's specification, may be a signal-bearing medium. This subject matter is not limited to that which falls within a statutory category of invention because it is not

limited to a process, machine, manufacture, or a composition of matter. Instead, it includes a form of energy. Energy does not fall within a statutory category since it is clearly not a series of steps or acts to constitute a process, not a mechanical device or combination of mechanical devices to constitute a machine, not a tangible physical article or object which is some form of matter to be a product and constitute a manufacture, and not a composition of two or more substances to constitute a composition of matter.

With respect to claims 22-28, the "computer readable modulated carrier signal," is directed to a signal, which is not statutory.

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over "Visualization using Timelines" by Gerald Karam (hereinafter Karam) in view of "From UML Sequence Diagrams and Statecharts to analyzable Petri Net models" by Bernardi et al. (hereinafter Bernardi).
- 6. As to claim 1, Karam teaches the invention substantially as claimed including a method for presenting event associations between events from one or more event flows on a display screen of a computer, comprising:

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constructing a sequence diagram representation (Xtg Visualization, Fig. 2), said representation having timelines for said event flows (time axis along horizontal dimension, page 131, left col., lines 7-9) and

displaying said representation on said display (Human Interface, Display Panel, page 131, left col., lines 1-7, Fig. 4).

Karam does not explicitly teach directional paths between said timelines for said event associations.

However Bernardi teaches directional paths between said timelines for said event associations (Sequence Diagram, SD, Fig. 6).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified the Xtg Visualization of Karam with the teachings of UML Sequence Diagrams from Bernardi because this feature would have provided mechanism to stochastically evaluate those behaviors of the systems that are consistent with the patterns of interaction described by a Sequence Diagram (page 35, right col., lines 27-29 of Bernardi), which is a Unified Modeling Language Diagram utilized to capture different aspects and views of the system (page 35, right col. Lines 26-31 of Bernardi).

- 7. As to claim 2, Karam teaches the method of claim 1 further comprising providing a graphical user interface for selecting a level of detail for said representation (micro view, page 132, right col., lines 20-21).
- 8. As to claim 3, Karam teaches the method of claim 2 wherein content for said level of detail (e.g. resolution of events, page 133, left col., line 6) is established by a

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predetermined relationship model (e.g. existing data sets, page 132, right col., line 19) for said event flows (macro view of events can be magnified from 4 days to 8 hours, page 133, left col., lines 4-10).

- 9. As to claim 4, Karam teaches the method of claim 1 further comprising generating said event associations (e.g. measurement of elapsed time of an event relative to one or more other events, page 133, right col., lines 3-4) by selecting associated events from said event flows (e.g. inserting TimeTags, page 133, left col., lines 45-46) in accordance with one or more predetermined parameters (e.g. TimeTag marks time interval in timeline, page 133, left col., lines 47-48).
- 10. As to claim 5, Karam teaches the method of claim 4 wherein said predetermined parameters include time of occurrence (timestamp recorded for event, page 126, left col., lines 13-15 and 19-20, TimeTag used to measure elapsed time of an event relative to one or more other events, page 133, right col., lines 3-4).
- 11. As to claim 6, Karam teaches the method of claim 1 wherein said event flows are logs (event stream read from file, page 128, right col., lines 3-5).
- 12. As to claim 7, Karam as modified teaches the method of claim 1 wherein said sequence diagram is a universal modeling language ("UML") sequence diagram (page 36, left col., lines 7-8 of Bernardi).
- 13. As to claims 8-14, these claims are rejected for the same reasons as claims 1-7 respectively, see the rejections to claims 1-7 above.
- 14. As to claims 15-21, these claims are rejected for the same reasons as claims 1-7 respectively, see the rejections to claims 1-7 above.

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15. As to claims 22-28, these claims are rejected for the same reasons as claims 1-7 respectively, see the rejections to claims 1-7 above.

#### Conclusion

16. The prior art made of record on the accompanying PTO-892 and not relied upon, is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kacy Verdi whose telephone number is (571) 270-1654. The examiner can normally be reached on Monday-Friday 7:30am-5:00pm EST..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Thomson can be reached on (571) 272-3718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SUPERVISORY PATENT EXAMINER